



## Conflicts of Interest Disclosure

### Eonefx & Conflicts of Interest

Eonefx sets out to manage conflicts of interest fairly. Eonefx has established this disclosure, which sets out the procedures and controls which help it identify and appropriately deal with material conflicts of interest, whether existing or potential.

Eonefx' responsibility to address conflicts of interest

- (1) Eonefx must consider the implications of any existing or potential material conflicts of interest between itself and any client.
- (2) Eonefx must address the existing or potential material conflict of interest in a fair, equitable and transparent manner, as well as considering the best interests of the client or clients.
- (3) Eonefx must avoid any existing or potential material conflict of interest it may have with any client that cannot be addressed in a fair, equitable and transparent manner, as well as considering the best interests of the client or clients.

Eonefx is required to take reasonable steps to identify existing and potential material conflicts of interest between Eonefx and the interests of clients. A potential conflict occurs where competing obligations or motivations result in, or are likely to result in, material risk of damage to the interests of a client, including where:

- a) Eonefx is likely to make a financial gain, or avoid a financial loss, at the expense of the client; or
- b) a Eonefx employee is likely to make a financial gain, or avoid a financial loss, at the expense of the client; or
- c) a client of Eonefx is likely to make financial gain or avoid a financial loss at the expense of another client.

Eonefx has established procedures which are designed to manage conflicts of interests. These include organizational and administrative arrangements to safeguard the interests of clients and minimize the potential for conflicts to arise. Eonefx has adopted several methods to manage conflicts of interest and control the movement of confidential information.

The following is a non-exhaustive list of these controls:

- a) segregation of duties and supervision for persons engaged in different business activities including procedures for ensuring appropriate communication between business units;
- b) personal account dealing restrictions applicable to all staff, and their associates, regardless of seniority;
- c) control over sources of remuneration of relevant persons;
- d) protocols to ensure that no improper inducements are given or received and proper inducements are disclosed appropriately;
- e) gifts and personal benefits procedures including a gift register recording the solicitation, offer or receipt of certain benefits;
- f) external directorship policy, including the requirement for all external directorships and outside business interests to be declared;
- g) the provision of training to directors and employees of CMC Markets on conflicts of interest management; and
- h) conduct ongoing reviews of its product, services, and policies to identify any potential conflicts of interest.



### **Disclosure of Conflicts of Interest**

Unless avoided, an existing or potential material conflict of interest will be disclosed to clients in all cases where a reasonable client would expect to be informed:

- a) for new clients, prior to opening an account for the client; and
- b) for existing clients, either as the conflict of interest occurs or, in the case of a transaction related conflict of interest, prior to entering into the transaction with the client.

We recommend that new clients read this disclosure, which will be provided prior to opening an account. New and existing clients can access this disclosure on Eonefx website under Legal Documents. Alternatively, clients may request a copy of this disclosure by getting in contact with the Client Management Team (see below for contact details). Any inquiries related to this disclosure should also be directed to the Client Management Team via one of the below methods:

Email: [support@eonefx.com](mailto:support@eonefx.com)  
Monday-Friday 10am-5pm SGT)

### **Referral Arrangements**

Referral arrangements refers to agreements in which a prospective client is referred by a referral agent to Eonefx and compensation is paid to the referral agent by Eone General Trading Pte.Ltd.

The referral agents are paid referral fees based on either:

- a) each referred client that successfully opens an account with Eonefx; or
- b) the type and volume of transactions entered into by the referred client. The fees under this structure are paid from profits that are earned on trades.

The referral fees are not paid or incurred by clients.

Once an account is opened pursuant to a referral arrangement, the client will receive the prescribed regulatory disclosures regarding the compensation structure paid to the referring agent.